



**Argonne**  
NATIONAL  
LABORATORY

*... for a brighter future*

# ***Ultra-Bright Electron Source Study for Accelerator Applications***

***Katherine Harkay***

***Accelerator Systems Division***



U.S. Department  
of Energy

UChicago ►  
Argonne<sub>LLC</sub>



***U. Chicago Photocathode Workshop***

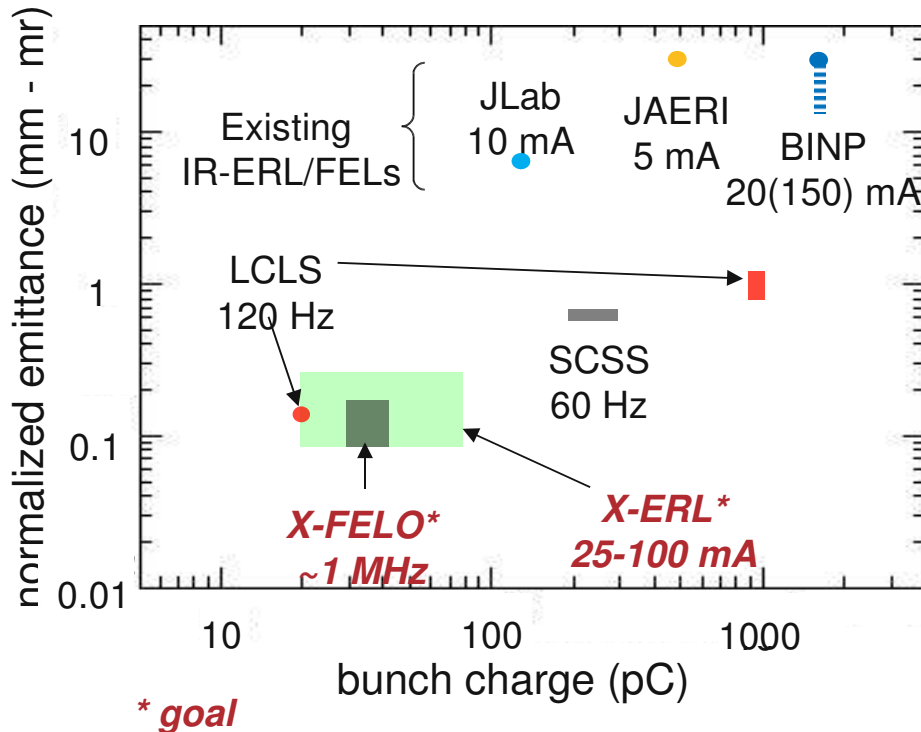
***2009 July 20-21***

# Outline

- Motivation: next-generation x-ray sources
- Electron “enhancement factor”
  - Enhancement = ultra-low emittance
- Experimental
  - Characterize emission distribution
- Theoretical
  - Optimize material properties to minimize emittance
- Summary

# Ultra-Bright Electron Injector

- X-FEL and X-ERL requirements on low beam emittance and electron bunch repetition rate are *very* demanding on electron source
- Photoemission efficiency & wavelength response also important: smaller laser (cost) and/or higher rep rate (flexibility)



*Low bunch emittance:*

- **Photocathode emission physics and materials optimization**
- Laser pulse shaping
- Numerical multivariate optimization modeling

*Low bunch emittance, high bunch rate:*

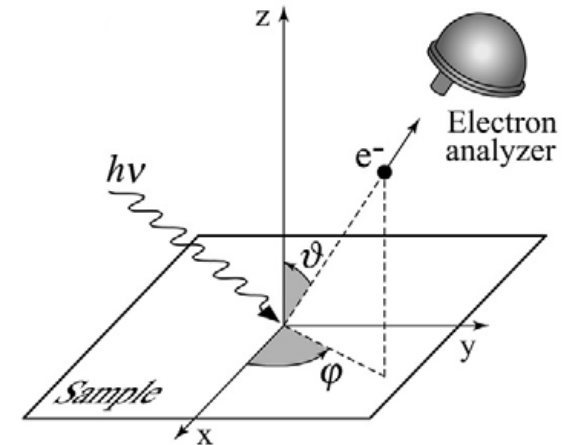
- Thermionic cathode, VHF rf cavity design, and beam manipulation (K.-J. Kim et al.)

# Ultra-Bright Photocathode Physics Study and Design

- Fundamental cathode emission properties determine lower bound on achievable electron source emittance \*
- Intrinsic emittance depends on:
  - Emission momentum distribution
  - Surface roughness, nonuniformity
  - Surface chemistry, impurities (e.g., oxide layers)
  - Grain boundaries
  - Laser profile, energy, polarization
- Angle-resolved photoemission spectroscopy (ARPES), an important tool in surface science, is also promising as a tool to characterize photocathodes\*\*

*Physica Scripta T109, 61 (2004)*

*A. Damascelli*



**Basic ARPES geometry**

\* I.V. Basarov, B.M. Dunham, C.K. Sinclair, Phys. Rev. Lett. 102, 104801 (2009).

\*\* D. Sertore et al., Proc. 2004 EPAC; W. Wan, CHBB Mini-workshop, DESY Zeuthen (2008).

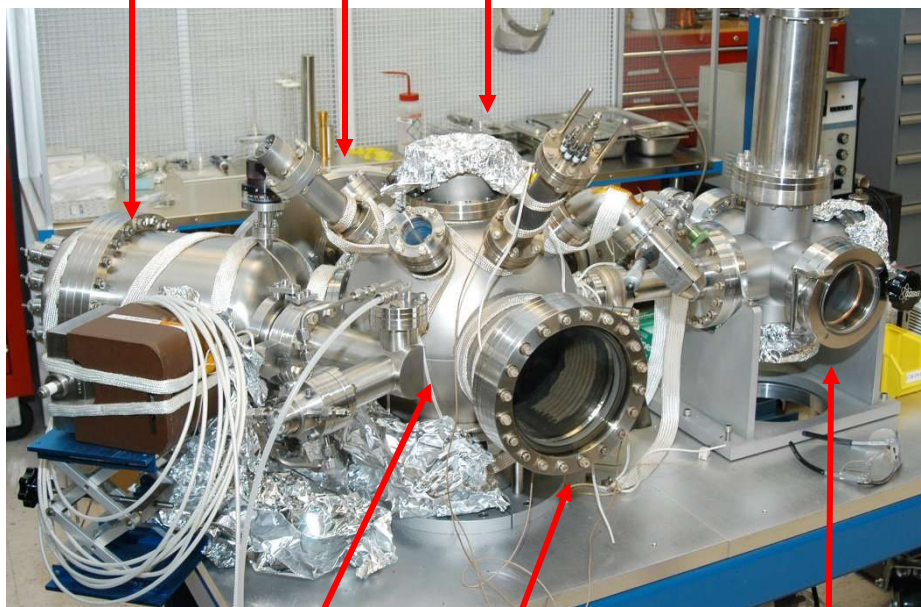
# Photocathode Surface Lab \*

## XPS system

Monochromatized x-ray source

Spherical electron analyzer

Dual-anode Al, Mg source



Analysis chamber

Sample intro chamber

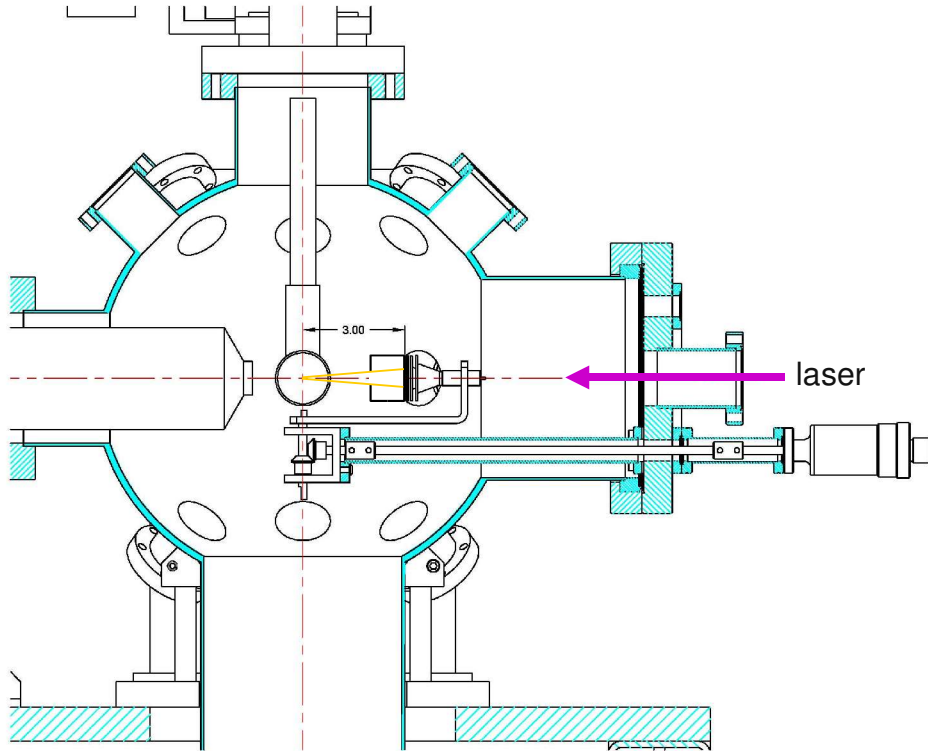
**ARPES system:** Mounting flange for photon input,  
TOF detector

- Existing UHV surface analysis chamber being upgraded to add ARPES\*\*
  - Mu-metal analysis chamber
  - XPS to study surface chemistry *in-situ*
  - Heat/cool sample (1000C/140K)
- Eventual upgrade (2<sup>nd</sup> UHV chamber)
  - Scanning Auger (AES) and scanning electron microscopy (SEM) (1-2  $\mu\text{m}$  resolution)
  - *In-situ* ion sputtering/ vapor deposition

\* Courtesy R. Rosenberg (ANL)

\*\* K. Harkay et al., Proc. 2009 PAC (MO6RFP045)

# ARPES Chamber

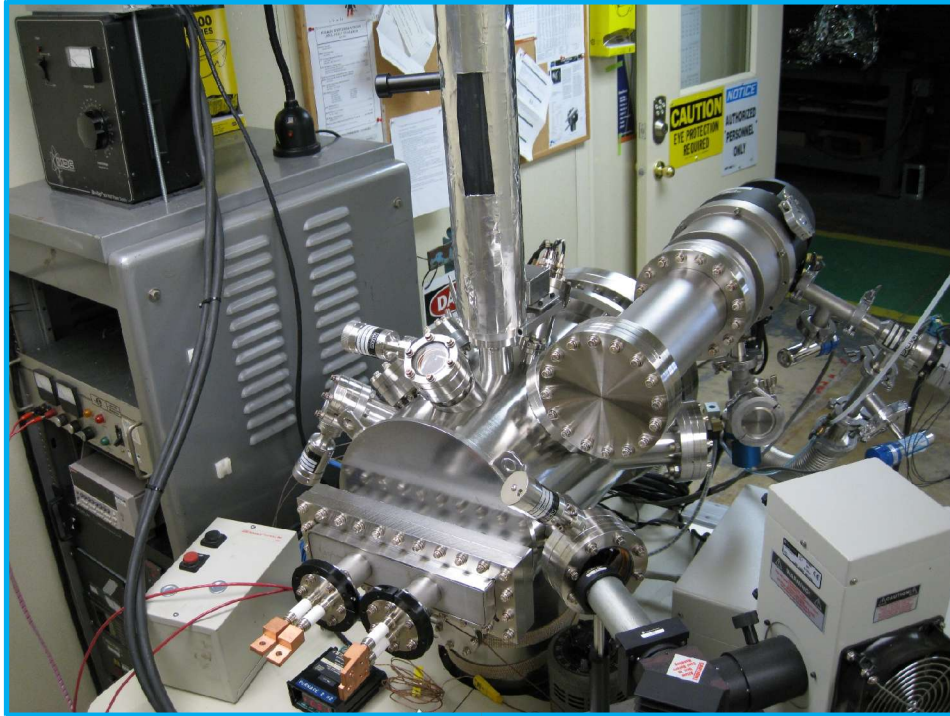


Design: R. Rosenberg

K. Harkay et al., Proc. 2009 PAC (MO6RFP045)

- Sample holder XYZ  $\theta$ , sample current
- Vary photon incident angle and polarization
  - Nd:YAG laser, 3-ns pulse (1064, 540, 355, 266 nm)
  - UV flash lamp (1- $\mu$ s), spectrometer
- MCP TOF electron detector inside vacuum on a rotating arm
  - Angular acceptance  $\sim 6$  deg
  - Scan emission angle vs. photon incident angle
- Electron tracking underway (SIMION) to optimize design (EM fields)
- Eventual upgrades: fast laser, 2D MCP TOF detector, vacuum load-lock system, test fast MCPs

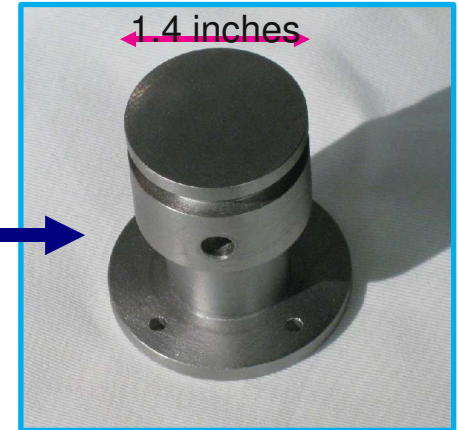
# High QE Photocathode – Fabrication and Vacuum Transfer



↑  
Cs<sub>2</sub>Te photocathode deposition system

→  
Cs<sub>2</sub>Te UHV vacuum transfer system

Photocathode plug/substrate (Mo) →



## Photocathode R&D status/plans

- Plan to start with existing cathodes (Cu, Cs<sub>2</sub>Te\*, diamond\*\*); no facilities for *in-situ* cesiation (e.g. Cs:GaAs)
- UV ARPES chamber assembly underway; first measurements this year. Opportunity to compare intrinsic emittance results with
  - BNL, PITZ (msr'd in injector)
  - INFN, LBNL (ARPES labs)
  - others
- Preliminary theoretical calculations under way; suggest a design method for ultra-high brightness cathodes
- Novel material designs that predict small emittance to be investigated experimentally
- Fabrication of novel cathodes to be discussed with: Argonne Materials Science Division, APS X-Ray Science Division, others from this workshop

\* Z. Yusof, <http://www.hep.anl.gov/eyurtsev/psec>

\*\* J. Smedley, T. Rao, private discussion at ERL09



# Emittance: Theoretical Estimate

At the surface, the emittance is

$$\epsilon_{x,rms} = x_{rms} p_{x,rms} / (m_e c)$$

For uniform emission from a disk,

$$x_{rms} = \frac{1}{2} R$$

For uniform distribution in the transverse momentum space,

$$p_{x,rms} = \frac{1}{2} P_r = \frac{1}{2} \hbar k_{max}$$

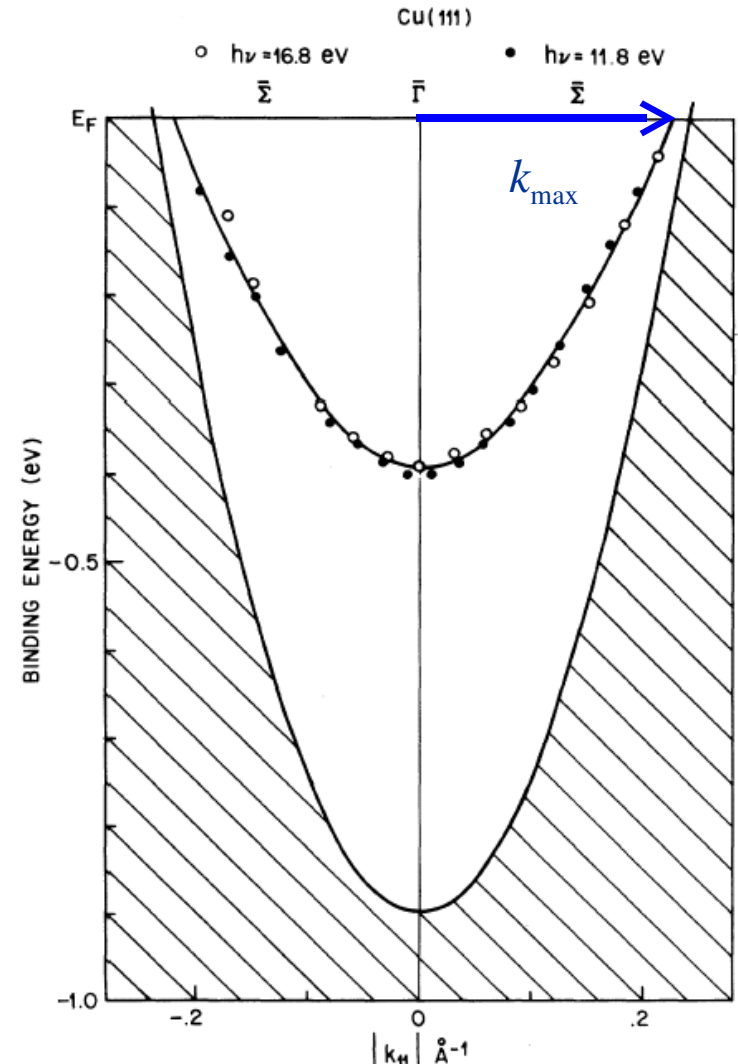
$$\epsilon_{x,rms} = \frac{1}{4} R \cdot \hbar k_{max} / (m_e c)$$

For Cu(111),  $k_{max} = 0.225 \text{ \AA}^{-1}$ ,  $R = 1 \text{ mm}$

$$\epsilon_{x,rms} = 2.2 \times 10^{-7} \text{ m rad}$$

For Ag(111),  $k_{max} = 0.125 \text{ \AA}^{-1}$ ,  $R = 1 \text{ mm}$

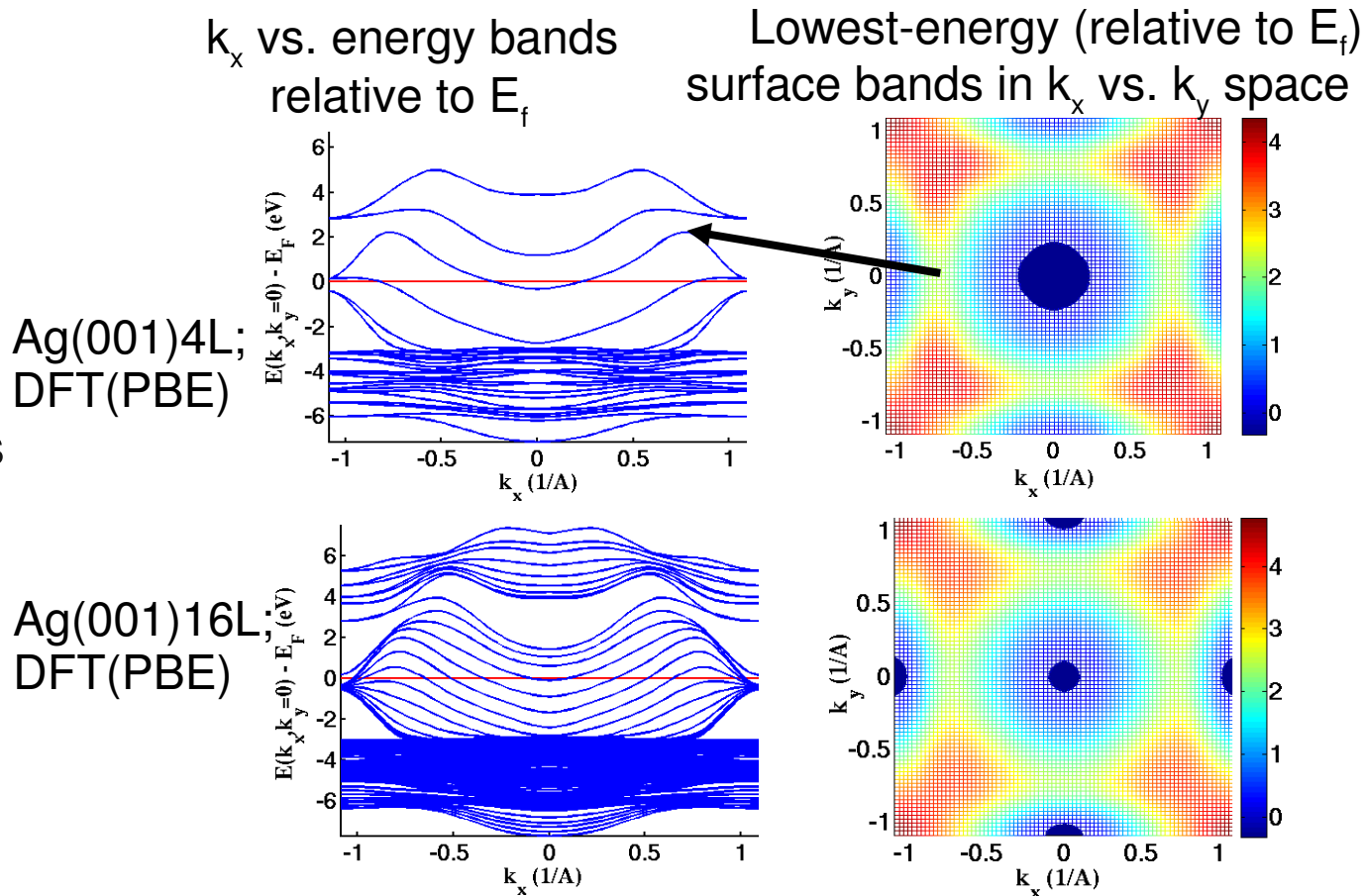
$$\epsilon_{x,rms} = 1.2 \times 10^{-7} \text{ m rad}$$



S.D. Kevan, PRL 50, 526 (1983).

# Surface model analysis via Density Functional Theory\*

- Surface slab, crystal orientation, compute 2D EDCs
- Comparison to measured work function (<10%), computed EDCs (~10%) (e.g., S.D. Kevan)
- ARPES spectra: emission probabilities vs. photon energy, polarization,  $\phi$ , band structure
- Preliminary results for Cu(001)
- Emittance to be estimated via 3-step model



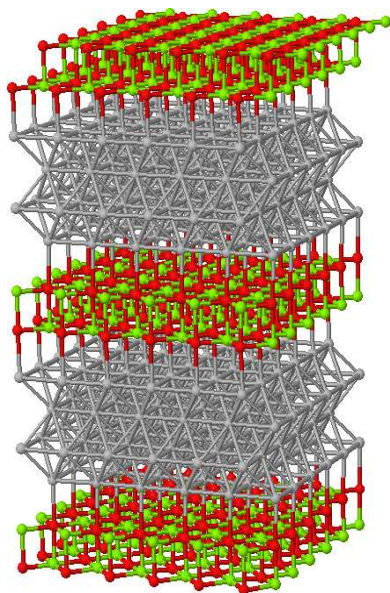
\* K. Nemeth

# Potential low-transverse-emittance layered structure

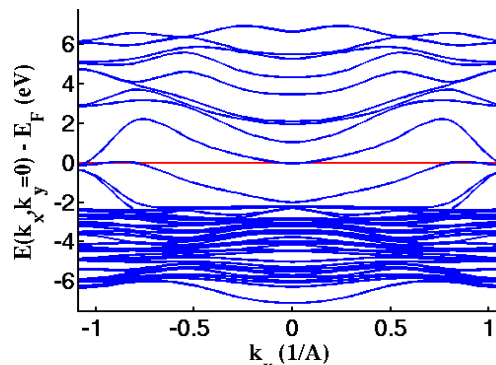
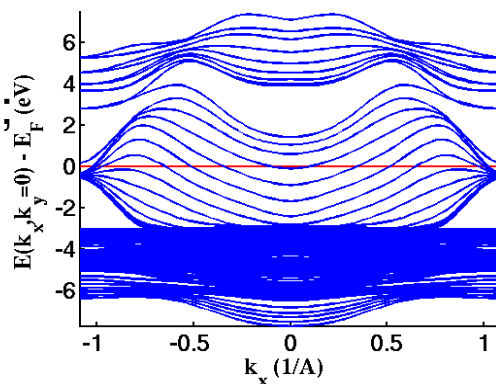
- Preliminary idea from surface catalysis systems (J. Chem. Phys. 127, 144713 (2007)).

K. Nemeth

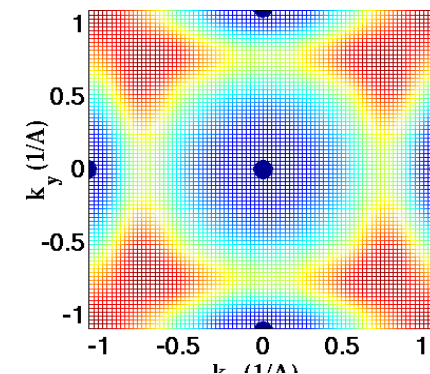
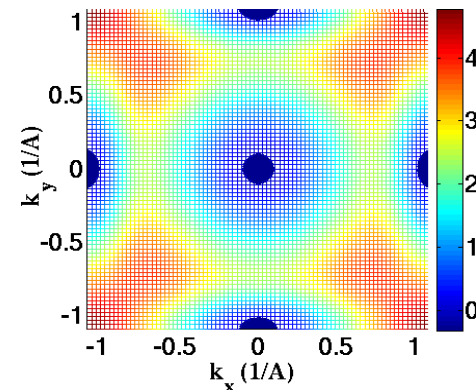
Ag(001)16L;  
DFT(PBE)



$k_x$  vs. energy bands  
relative to  $E_f$



Lowest-energy (relative to  $E_f$ )  
surface bands in  $k_x$  vs.  $k_y$  space



MgO(100)2L-Ag(100)4L-MgO(100)2L; DFT(PW91)  
Work function reduced by  $\sim 1$  eV relative to pure Ag(001)

## Summary

- Photoemission characterization using UV ARPES under development; chamber assembly underway
- Potential materials design methods being explored theoretically to optimize (minimize) emittance for next-generation x-ray source
- Prediction of ARPES spectra, emittance, and QE to be done
- Fabrication of promising designer cathodes needs to be developed; properties to be characterized (also lifetime, grain boundaries, etc)
- Other efforts:
  - High QE photocathodes (Z. Yusof, J. Noonan, M. Virgo, et al.): Cs<sub>2</sub>Te, GaN
  - Plasmon-enhanced photocathodes (W. Wan, H. Padmore et al. (LBNL))
- Potential overlapping interests with fast PMT effort: test MCPs, cathode characterization/design

**Collaborators:** Yuelin Li, Karoly Nemeth, Richard Rosenberg, Marion White (ANL), Linda Spentzouris (IIT)

**Acknowledgements:** H. Padmore, W. Wan, K. Attenkofer, J. Smedley